



Attorney Docket No. 5308-310

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley et al.

Application Serial No.: 10/659,240

Filed: September 9, 2003

For: TRANSMISSIVE OPTICAL ELEMENTS INCLUDING TRANSPARENT PLASTIC SHELL HAVING A PHOSPHOR DISPERSED THEREIN, AND METHODS OF FABRICATING SAME

November 11, 2003

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Mitchell S. Bigel
Registration No. 29,614

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 11, 2003.

Susan E. Freedman

Date of Signature: November 11, 2003

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

NOV 14 2003

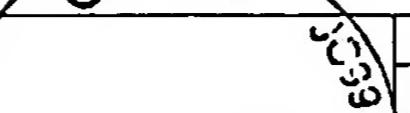
A circular black ink stamp. The word "OPIE" is at the top. In the center, it says "NOV 14 2003". Around the bottom edge, it reads "PATENT & TRADEMARK OFFICE".

Substitute form 1449A/PTO				<i>Compl t if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/659,240
				Filing Date	09/09/2003
				First Named Inventor	Gerald H. Negley
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	5308-310
(use as many sheets as necessary)		NOV 14 2003		PATENT & TRADEMARK OFFICE	
Sheet	1	of	2		

U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Signature _____ **Date Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Application Number	10/659,240
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				Attorney Docket Number	5308-310
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					
Sheet	2	of	2		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
	40	JP	2003-17755	A	Nichia Chem Ind Ltd.	01/17/2003	
	41	JP	2002-280616	A	Nichia Chem Ind Ltd.	09/27/2002	
	42	JP	2002-223004	A	Nichia Chem Ind Ltd.	08/09/2002	
	43	WO	02/059982	A1	Nichia Corporation	08/01/2002	
	44	JP	2002-158378	A	Nichia Chem Ind Ltd.	05/31/2002	
	45	JP	2002-118293	A	Nichia Chem Ind Ltd.	04/19/2002	
	46	JP	2001-230453	A	Nichia Chem Ind Ltd.	08/24/2001	
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	51	JP	2000-286458	A	Nichia Chem Ind Ltd.	10/13/2000	
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	55	JP	11-298047	A	Nichia Chem Ind Ltd.	10/29/1999	
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	57	JP	10-242513	A	Nichia Chem Ind Ltd.	09/11/1998	
	58	JP	9-246603	A	Nichia Chem Ind Ltd.	09/19/1997	
	59	JP	8-162676	A	Nichia Chem Ind Ltd.	06/21/1996	
	60	JP	6-244458	A	Nichia Chem Ind Ltd.	09/02/1994	
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	62	JP	6-151974	A	Nichia Chem Ind Ltd.	05/31/1994	
	63	JP	5-152609	A	Nichia Chem Ind Ltd.	06/18/1993	

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ **Date Considered** _____

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